

## Notice of a public meeting of

### Audit and Governance Committee

<b>To:</b>	Councillors J Burton (Vice-Chair), Hollyer (Chair), Fisher, Leigh (Independent Member), Mason, Melly, Rose and Whitcroft and Mr J Leigh (Independent Member)
<b>Date:</b>	Wednesday, 8 November 2023
<b>Time:</b>	5.30 pm
<b>Venue:</b>	The Snow Room - Ground Floor, West Offices (G035)

### AGENDA

The Council is working towards net zero targets for 2030. Reducing the amount of paper we print is one contributory factor. Therefore, printed copies of agenda will only be available for some meetings at the moment, with the exception of a few printed copies being made available at all public meetings for members of the public. Please view or access agenda for our public meetings on line, wherever possible. **Anyone with disability or impairment needs preventing or restricting access or viewing on line should contact the Democracy Officer for the meeting.**

#### 1. **Declarations of Interest** (Pages 1 - 2)

At this point in the meeting, the Executive Member is asked to declare any disclosable pecuniary interest, or other registerable interest, they might have in respect of business on this agenda, if they have not already done so in advance on the Register of Interests. The disclosure must include the nature of the interest.

An interest must also be disclosed in the meeting when it becomes apparent to the member during the meeting.

*[Please see attached sheet for further guidance for Members].*

## **2. Exclusion of Press and Public**

To consider the exclusion of the press and public from the meeting during consideration of the following:

Annexes 4 to 7 to Agenda Item 12 on the grounds that it contains information relating to the financial or business affairs of any particular person. This information is classed as exempt under paragraph 3 of Schedule 12A to Section 100A of the Local Government Act 1972 (as revised by The Local Government (Access to Information) (Variation) Order 2006).

## **3. Minutes & Action Log (Pages 3 - 18)**

To approve and sign the minutes of the meeting held on 12 September 2023.

## **4. Public Participation**

At this point in the meeting members of the public who have registered to speak can do so. Members of the public may speak on agenda items or on matters within the remit of the Executive.

Please note that our registration deadlines are set as 2 working days before the meeting, in order to facilitate the management of public participation at our meetings. The deadline for registering at this meeting is **5:00pm on Monday, 6 November 2023**.

To register to speak please visit [www.york.gov.uk/AttendCouncilMeetings](http://www.york.gov.uk/AttendCouncilMeetings) to fill in an online registration form. If you have any questions about the registration form or the meeting, please contact Democratic Services. Contact details can be found at the foot of this agenda.

### **Webcasting of Public Meetings**

Please note that, subject to available resources, this meeting will be webcast including any registered public speakers who have given their permission. The meeting can be viewed live and on demand at [www.york.gov.uk/webcasts](http://www.york.gov.uk/webcasts).

During coronavirus, we made some changes to how we ran council meetings, including facilitating remote participation by public speakers. See our updates ([www.york.gov.uk/COVIDDemocracy](http://www.york.gov.uk/COVIDDemocracy)) for more information on meetings and decisions.

**5. Corporate Governance Performance Report (Pages 19 - 68)**

This report provides Members with updates in respect of:

- Responses and updates to queries and questions from Committee in July 2023;
- Corporate Governance Team (CGT) performance indicators update;
- Information Commissioners Office (ICO) enforcement notice;
- Ombudsmen updates;
- Covert surveillance training.

**6. Report of the Monitoring Officer on suggested Constitutional Changes (Pages 69 - 350)**

This report provides the first tranche of suggested constitutional changes to the Committee for consideration and discussion.

**7. Publication of Internal Audit Reports (Pages 351 - 358)**

This report provides the Committee with a greater understanding of the reasons for exempting Internal Audit ("IA") reports from publication.

**8. Treasury Management Mid-Year Review and Prudential Indicators 2022/23 (Pages 359 - 380)**

The purpose of the report is to provide a mid-year update to Audit & Governance Committee on treasury management activities for the first half of the year and to provide the latest update of the prudential indicators.

**9. Update on No Purchase Order No Pay Process (Pages 381 - 392)**

The report provides an update to members of Audit & Governance Committee about the review of No Purchase Order No Pay processes.

**10. Monitor 2 2023/24 - Key Corporate Risks (Pages 393 - 430)**

The purpose of this paper is to present Audit & Governance Committee (A&G) with an update on the key corporate risks (KCRs) for City of York Council (CYC), which is included at Annex A.

**11. Audit and Governance Work Plan 2023/24** (Pages 431 - 434)

To consider the Committee's work plan for the 2023/24 municipal year.

**12. Audit & Counter Fraud Progress Report** (Pages 435 - 518)

This report provides an update on the delivery of the internal audit work programme for 2023/24 and on counter fraud activity undertaken so far in the year. It also informs Members of the outcome of Veritau's recent external quality assessment.

**13. Urgent Business**

Any other business which the Chair considers urgent under the Local Government Act 1972.

Democracy Officer:

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For more information about any of the following please contact the Democracy Officer responsible for servicing this meeting:

- Registering to speak
- Business of the meeting
- Any special arrangements
- Copies of reports

Contact details are set out above.